

Clarion

Clarion Capital is an initiative of Clarion Algorithmic Trading B.V.

SUBSCRIPTION FORM CORPORATE

**Attention! This investment falls outside AFM supervision.
No license and no prospectus required for this activity.**



SUBSCRIPTION PROCEDURE



Submit your
subscription



Your subscription is
being processed



Transfer your
subscription amount



You are participating in
the Fund

The information you provide in this form is required to process the subscription. Some of the questions arise from legal obligations to mitigate risks with regard to money laundering and terrorism financing. This requires, for example, to obtain insight into the Investor's background and the origin of the money to be invested in the Fund. The information will be shared with AssetCare, the Administrator of the Fund. AssetCare will process the subscription and will contact you in case additional information is required.



Words and expressions in this form starting with a capital letter have the meaning explained in Annex I of the Information Memorandum.

Please do not hesitate to contact the Administrator on +31 (0)20 244 27 15 or investors@assetcare.nl should you need help completing this form and/or if you have any questions regarding it.

INFORMATION ABOUT CLARION CAPITAL

The documentation below provides important information about the characteristics of the Fund, such as the investment policy and the risks involved.

Clarion Capital

→ Information Memorandum (IM)

→ Key Information Document (KID)

→ Terms & Conditions (Terms - Annex 2 of the IM)

It is recommended to carefully read and save the documents beforehand, so that you may consult them at any time.



Do you want to open a webpage from this form? Make sure this form is saved beforehand to avoid that the data that you have entered is lost.

INVESTOR DETAILS

Please enter the information in the table below.

Company name		
CoC-number ¹		
Email address		
Phone number		
Address		
Postal code		
City		
Country of incorporation		
Full name director(s)	1	2
	3	4
Private address director(s)	1	
	2	
	3	
	4	

Could you please indicate how you came into contact with Clarion Capital? If this was through a person and/or organization, could you elaborate on your relationship with this person and/or organization?

Authorized signatory(ies)	First authorized signatory	Second authorized signatory ²
First and last name		
Title		
Email address		
Phone number		

¹ Is the entity not registered in the Netherlands? Please provide the registration number issued by the country where the entity is registered.

² Required only for a corporate subscription with multiple authorized representatives.

SUBSCRIPTION



The minimum subscription amount is € 100.000 The name associated with the bank account from which the subscription amount is transferred must match the name(s) of the Investor. This form must be received no later than five (5) business days before the respective Transaction Date.

Please indicate the subscription amount and from which bank account the deposit will be made.

Subscription amount	€
IBAN / bank account	
Account holder name	

DEPOSIT INSTRUCTIONS



The issuance of Units is then carried out in accordance with the methodology described in the IM. The Transaction Date of the Fund is typically on the first business day of the month. Once the subscription is processed, you will receive a Transaction Note with an overview of your Units.

You will receive the deposit instructions from AssetCare (investors@assetcareportal.nl) after your subscription has been approved.

GENERAL

The following questions are intended to provide further insight into your subscription.

	First signatory		Second signatory ³	
Are you a PEP (Politically Exposed Person)?	Yes	No	Yes	No
Are you a U.S. Person?	Yes	No	Yes	No



Please see this [this webpage](#) for more details about (Pseudo) UBO('s), PEP's and U.S. Persons.

³ Required only for a business registration with multiple authorized representatives.

SOURCE OF FUNDS TO BE INVESTED



The Money Laundering and Terrorist Financing (Prevention) Act (Wwft) requires fund managers to, amongst others, identify their Investors, report unusual transactions and have insight in the origin of funds. There is also an obligation to keep investor's files up to date.

In the context of these regulations, we kindly ask you to complete the information below.

Do you expect to make multiple subscriptions? Yes No

↳ If so, can you explain this further (frequency and expected amounts)?

Do you expect to make interim redemptions? Yes No

↳ If so, can you explain this further (frequency and expected amounts)?

Select the sources below that explain the origin of the funds and include the percentage of each source. The total of the percentages should be 100%.

Salary	%	Savings	%
Entrepreneurial income	%	Income from investments	%
Inheritance/gift	%	Property	%
Retirement benefits	%	Family wealth	%
Other:			%

Additional information is needed regarding the (justification of the) source of funds.

To fully assess your subscription, we kindly request that you provide a textual explanation below. Additionally, we would appreciate receiving documentation for verification, such as an annual report including the profit and loss statement or a deed of sale.



Please see [this webpage](#) for more information about the different sources of funds. Please note that this form should be saved before opening a webpage.

DECLARATION OF FISCAL RESIDENCY

Under the Foreign Account Tax Compliance Act ("FATCA") and the Common Reporting Standard for Automatic Exchange of Financial Account Information ("CRS"), it is mandatory to (I) ask where you are subject to taxation and (II) report certain tax-relevant information to the Dutch tax authorities and, in some cases, (through the Dutch tax authorities) to foreign tax authorities.

In the context of these regulations, we kindly ask you to complete the information below.

Is the entity subject to taxation in the Netherlands?	Yes	No
↳ If yes, what is the tax identification number (RSIN)?		
Is the entity subject to taxation in the United States?	Yes	No
↳ If yes, what is the U.S. taxpayer identifying number (U.S. TIN)?		
	↳ If yes, what is the exempt code?	N.A.
Is the entity, either solely or also, subject to taxation in a country other than the Netherlands or the United States?	Yes	No
↳ If yes, add the information for each country in the table below.		
	Country	Tax Identification Number
	1	
	2	
Does the entity qualify as a Financial Institution?	Yes	No



Please see [this webpage](#) for more information about U.S. Persons and a Financial Institution.

(Pseudo) beneficial owner(s) (UBO(s)) and financial institution

Does the entity have one or more UBOs with an interest of more than 25%?

Yes → The table below can be completed with the details of the UBO(s).

No → The data of the person who has effective control (the Pseudo UBO) should be filled in below.



Please refer to [this webpage](#) for more information about a Financial Institution.

	First UBO		Second UBO ⁴		Third UBO ⁴		Fourth UBO ⁴	
Full name								
Direct interest								
Indirect interest								
Pseudo UBO	Yes	No						
Address								
Postal code								
City								
Country								
Nationality								
Date of birth								
Place of birth								
Country of birth								
Email address								
Tax residency/residencies ⁵								
Citizen Service / Fiscal Identification Number								
Document number ⁶								
Are you a PEP?	Yes	No	Yes	No	Yes	No	Yes	No
Are you a U.S. Person?	Yes	No	Yes	No	Yes	No	Yes	No

⁴ Only required when there are multiple UBOs.

⁵ Fill in the tax identification number (personal number) if you are tax liable outside the Netherlands.

⁶ Fill in the document number of your passport or ID card.

REQUIRED DOCUMENTS

Below is an overview of the documents that are at least required to process the subscription.

Corporate subscription

A fully completed and signed subscription form

A copy of an identity document⁷ of all directors, signatories and (Pseudo) UBO(s)

A copy of a recent bank statement of the entity to verify the IBAN, account name, and address⁸

A copy of a recent bank statement of (Pseudo) UBO(s) to verify the address⁸

Recent extract from the Chamber of Commerce⁸

Recent extract from the UBO register⁸

Ownership chart of the shareholders⁹

Articles of association

Shareholder registry⁹ (up to date)

Documentation to substantiate source of funds (such as an annual report including the profit and loss statement or any other document that verifies the source of funds)



AssetCare will contact you by phone or email should additional information or documentation be required to process your subscription.

Any costs associated with depositing the subscription fee into the account of the Legal Owner are your responsibility.

⁷ Passport, (Dutch) ID card or (European) driver's license. A copy of both the front and back side is required for an ID card and driver's license.

⁸ Not older than 3 months.

⁹ If a natural person is the sole shareholder of the respective business entity, it is not necessary to include the organizational chart and the shareholder register.

SIGNATURE PAGE

By signing the Subscription form you declare that you:

- have truthfully completed the Subscription Form;
- will communicate changes to data in this form within thirty days via the Amendment Form;
- have read the IM, the KID and the Terms & Conditions;
- understand and accept the information and conditions outlined in the IM, the KID and the Terms & Conditions;
- understand and accept the risks associated with investing in the Fund, which risks are inter alia further described in chapter 6 of the IM;
- (for investors which are not residents of the Netherlands) have not been approached by Fund Manager or any other party for an investment in the Fund, that no marketing has taken place towards you for an investment in the Fund and that you are subscribing for Units at your own initiative;
- subscribe for Units for your own account against a total subscription amount as stated above under the heading 'SUBSCRIPTION' and to acquire and hold them for your own account;
- agree that the Fund Manager will use and process the information you provide in accordance with the privacy statement which can be found at [privacy statement](#), including the administration of the Fund and to comply with legal obligations;
- agree that Fund Manager will process your data to comply with anti-money laundering laws and other legal obligations, ensuring strict confidentiality. Fund Manager and Legal Owner will comply with applicable data protection laws, including the GDPR, when processing your personal data.
- agree that the Manager uses and processes the information provided by you in line with the privacy statement, including the administration of the Fund and compliance with legal obligations;
- agree that data may be shared with third parties listed in the IM if required for these parties to comply with legal obligations;
- agree that data may be disclosed to the Dutch tax authorities for the purpose of CRS and FATCA;
- agree that, in accordance with the requirements of the 'Implementation Act on Registration of Ultimate Beneficial Owners of Trusts and Similar Legal Arrangements', Fund Manager will provide data to the Trade Register for the purpose of the so-called 'UBO Register';
- agree that all information relating to Clarion Capital will be provided either via the Website or by email;
- agree that the Fund Manager will use your data to – next to the reports as described in the IM – periodically provide information regarding the Fund, your Units in the Fund and other investment related matters; and
- are aware that the Fund is not subject to the supervision of the AFM according to Article 2:66a of the Financial Supervision Act.

This form and the required documents will be used to process your subscription and perform subsequent services. The subscription cannot be processed if the subscription form is incomplete.

	First signatory		Second signatory ¹⁰
Date			
City			
Name			
Signature ¹¹			

¹⁰ Only required for a corporate subscription with multiple authorized representatives.

¹¹ Whether you can provide a digital signature depends on the program you use to open the file and your settings. Unable to provide a digital signature? In that case, print out the form, sign by hand, and scan or photograph the signed document.

SUBMIT YOUR SUBSCRIPTION

Please send a signed form to investors@assetcare.nl (with info@clarioncapitalfund.com in CC) or by regular mail to AssetCare. You will be notified by email when your subscription is received and being processed and/or should we need additional information.



Submit your
subscription

Your subscription
is being processed

Transfer your
subscription amount

You are participating
in the Fund

Please contact if you need any help completing the subscription form and/or have any questions about this form:



+31 (0) 20 244 27 15

Available each working day from
08:00 to 18:00



investors@assetcare.nl

Our aim is to answer your email
within 24 hours



AssetCare

Mondriaantoren
Amstelplein 40 C
1096 BC Amsterdam

Fund Manager and Administrator will protect the privacy of the Investors in the Fund. All privacy-sensitive information that is provided is subject to the privacy statement. Fund Manager and Administrator make reasonable efforts to keep this information confidential and to use it only for the purposes described in the privacy statement. Fund Manager and Administrator work in accordance with the Dutch privacy legislation (AVG). In order to process a subscription, Fund Manager and Administrator need information such as name and email address. These details may also be used to keep you, as Investor in the Fund, informed of the developments in the Fund or to send you other information that may be of interest to you. This is optional and you are free to opt out (or opt in) to this service at any time.

Fund Manager or Administrator will not disclose Investors' information to third parties without permission, other than to the parties listed in the IM or unless otherwise required by law.

Fund Manager and Administrator reserve the right to modify the privacy statement in the event there are changes in its policy or business operations or in case law or case law warrant it.

Please feel free to contact the Administrator or Fund Manager in case you have any questions or comments about the privacy statement.